

**Universal® received the following message from  
the German Emissions Trading Authority in March 2013:**

**Opening an Aircraft Operator Holding Account in  
the German Part of the Union Registry**

Dear Sir,  
Dear Madam,

For your participation in emissions trading the opening of an aircraft operator holding account in the Union Registry is required (Article 15 I EU RegReg No 1193/2011). On the account the allocation, ownership, transfer and surrender of emission certificates will be recorded.

The EU Registry Regulation 1193/2011 stipulates that the following documentation must be submitted.

Please submit all documents by April 08, 2013.

1)

**Aircraft Operator Holding Account Opening Application:**

Fill in the online form, which is available on the public homepage of the German part of the Union Registry under Accounts> Account Request>Aircraft Operator holding Account.

The homepage of the German part of the Union Registry can be found at the DEHSt-homepage. Please navigate: Homepage > Quicklinks > Union Registry > Find out more

There are instructions for filling in the online form available for download from the DEHSt website under

[http://www.dehst.de/SharedDocs/Downloads/EN/Registry/REG\\_union\\_registry\\_aircraft\\_operator\\_account\\_formular.html?nn=2660948](http://www.dehst.de/SharedDocs/Downloads/EN/Registry/REG_union_registry_aircraft_operator_account_formular.html?nn=2660948)

Please enter the following information into the application form (tab sheet: "Aircraft Operator"):

Monitoring Plan ID = your DEHSt reference number (19550-XXXX)

First Year of Verification: 2012

In order to apply an aircraft operator holding account, each authorized representative and additional authorized representative need to have a valid ECAS login and a URID. The exact procedure is described in a separate document „ Establishing an ECAS Access and Register at the Unions Registry“, which is accessible under [http://www.dehst.de/SharedDocs/Downloads/EN/Registry/REG\\_ECAS\\_Langversion\\_EN.pdf?\\_\\_blob=publicationFile](http://www.dehst.de/SharedDocs/Downloads/EN/Registry/REG_ECAS_Langversion_EN.pdf?__blob=publicationFile)

Information and short demo-videos for the Union Registry can be found at the following link:

<http://ec.europa.eu/clima/sites/registry/>.

2)

**an excerpt from a public register** (e.g. trade register) or equivalent document (no more than three months old), confirming the company's name and address, regulations regarding the representation of the company and the names of individuals representing the company.

3)

One of the following **documents confirming the identity and permanent address of authorized representatives**. Copies of the originals are acceptable:

- a) ID card issued by a state that is part of the EEA or an OECD member. Passports confirming the account administrator's permanent address are also accepted;
- b) any other official identity card confirming the permanent address
- c) if the country of permanent residents does not issue ID documents: in addition to ID-card or passport a declaration by local authorities confirming the permanent address of the person in question will be accepted;

4)

#### **Criminal Record Certificate**

All authorized representatives have to submit a criminal record certificate or equivalent document (e.g. FBI Check). If the state does not issue criminal record certificates or equivalent documents a sworn declaration would be sufficient.

5)

**Power of Attorney for the use of Virtual Post Office (VPS)** (in case of using VPS for submitting documents)

In order to use VPS, the applicant or authorized person must have a signature card with a qualified digital signature. You will find more detailed information on the use of VPS at [www.dehst.de/EN](http://www.dehst.de/EN). When sending the filled-in form with the requisite evidence via the Virtual Post Office of DEHSt, a legally binding declaration (full power) of the authorized representatives of the legal entity must be submitted, stating that the sender is authorized to send the application via the Virtual Post Office. Dispensation may be given for such a declaration only if the sender is the sole authorized person (e.g. according to an entry in the commercial register). Please state the signatory's name in print. The application sent to VPS by the applicant need not be physically signed, as documents that have been sent using the signature card of the applicant or the authorized person carry a legally binding digital signature.

**Documentation listed under 2) and 3) must be submitted to the DEHSt in certified form, with certification dating back no further than three months. If the relevant documents have not been issued in English or German, a certified translation into English must be also submitted.**

There are two possible ways of sending the filled-in application and required documents to DEHSt:

- a) **via the Virtual Post Office (VPS) of DEHSt:** (Please note point 5) of this e-mail.
- b) **by air-mail/fax:** Please print out the received PDF file (account application), sign it and send it to DEHSt as a letter. When sending the form with the requisite evidence by letter, the authorized person of the legal entity (e.g. directors) must sign the application (names must be added in print below the signatures).

**Please note:**

We would like to remind you that the opening of an aircraft operator holding account is an obligation under the Registry Regulations (Article 15 (1) Commission Regulation (EU) No. No. 1193/2011)) and it is part of the obligations under the emissions trading directive 2003/87/EG.

In order to open an aircraft operator account you will have to submit the necessary documentation. The account can only be opened if the documentation is complete. If you do not open an aircraft operator account in time, you risk to miss your obligation to surrender allowances according to Article 12 (2a) Directive 2003/87/EG and Section 7 Treibhausgas-Emissionshandelsgesetz. **Operators who do not surrender allowances will be held liable for the payment of an excess emissions penalty (100 Euro for each tone of carbon dioxide equivalent) according to Article 16 (3) Directive 2003/87/EG and Section 30 Treibhausgas-Emissionshandelsgesetz.**

Should you have any further questions, please feel free to contact us.

With kind regards,  
On behalf  
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